



Surname, First name	Norton, Patrick M.
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Email	pnortonlaw@gmail.com
Nationality	U.S.

Educational/Professional Memberships

University of Pennsylvania, B.A., 1969
Oxford University, B.Phil. 1972
Harvard Law School, J.D. 1975
Bar of the District of Columbia since 1975
Registered foreign lawyer, China, 1999-2005
Registered foreign lawyer, England, 2012-2014
American Society of International Law
Inter-Pacific Bar Association
National Committee on US-China Trade
Council on Foreign Relations

Current Position

Sole Practitioner and independent arbitrator

Professional Experience/Areas of Expertise

Partner, Steptoe & Johnson, Washington DC (2006-12), London, UK (2012-14)
Partner, O'Melveny & Myers, Shanghai, (1999-2002) Beijing (2002-05), Washington DC (2005-06)
Partner, Alston & Bird, Atlanta, GA (1989-1995), Washington, DC (1995-99)
United States Department of State, Assistant Legal Adviser for the Near East and South Asia (1987-89), Assistant Legal Adviser for East Asia and the Pacific (1983-87), Deputy Director, Office of Iran Claims (1982-83)
Counsel, United States Senate Select Committee on Intelligence, 1977-79

Expertise in public international law, international arbitration and litigation, international trade law, international anti-corruption matters, international M&A and commercial matters

Arbitration Experience

As an Arbitrator

- Sole arbitrator in commercial dispute between U.S. company and East Asian company; ICDR Rules, Wilmington, DE, 2015-16; award issued 2016.
- Party-appointed arbitrator in commercial dispute before ICC Panel in Singapore, 2014-16; award issued 2016.
- Party appointed arbitrator in commercial dispute before HKIAC panel in Hong Kong 2014-16; award issued 2016.
- Chairman of three-arbitrator ICC tribunal for claims arising out of a European MNC's investment in a Korean JV, 2012-13. Hearings in Seoul and Hong Kong; award issued 2013.
- Sole arbitrator in three ICC arbitrations in Beijing and Shanghai between foreign

investors and Chinese companies (2004-05); hearings and awards in two cases; third case settled.

- Party-appointed arbitrator in UNCITRAL arbitration in Washington, DC involving international investments in Kazakhstan oil fields; award issued 2001.
- Party-appointed arbitrator in ICC arbitration in Stockholm between US and Chinese joint venture partners concerning production facility in southern China; award issued in 1999.
- Chairman of AAA arbitration in Atlanta brought by US manufacturer of armored cars against Latin American government; award issued 1990.

As Counsel

- Represented US manufacturer in \$80 million dispute with Indian manufacturer under ICDR Rules; hearings held in New York; favorable award received 2013.
- Represented US importer in three arbitrations brought against Chinese suppliers under CIETAC Rules in Beijing and Shanghai. Favorable awards in all three cases (2004).
- Represented a NYSE-listed Chinese company in interrelated S.D.N.Y. litigation and London (LCIA) and Singapore (SIAC) arbitrations. Disputes settled on favorable terms.
- Advised foreign receivers of a \$250 million real estate development project in China regarding litigation in China, the UK, and the Caribbean, and in related arbitration proceedings (ICC) in Paris.
- Represented German manufacturer in ICC arbitration in Zürich against the Government of Iraq for equipment purchased prior to the First Gulf War. Represented same client in US litigation to compel payments by Italian bank pursuant to letters of credit securing payment of arbitral award. Client was awarded its claims in full and collected the awards pursuant to letters of credit in the US.
- US State Department: Led US Government team representing the United States in *Nicaragua vs. United States*, International Court of Justice (1985-86); responsible for all written briefs and oral arguments in preliminary measures and jurisdiction phases of case.
- US State Department, Deputy Director of Iran Claims (1983-84): Represented the United States in 130 arbitrations with Iran involving US\$30 billion in claims. Briefed and successfully argued three of the largest cases, including two cases heard by the full Iran-US Claims Tribunal.

Publications

- “The Use of Precedents in Investment Treaty Arbitration Awards,” 25 *Am. Rev. Int’l Arb.* 167 (2014).
- “Informal Dispute Settlement Approaches,” in M. Moser (ed.), *Managing Business Disputes in China Today* 19 (Kluwer, 2007)
- “Chinese Trade and Investment Disputes: Offshore Options,” in I.J. Cohen, N. Kaplan, P. Malanczuk, D. Fung, and S.C. Wang (eds.), *Arbitration in China: A Practical Guide* 95 (2004)
- “A Law of the Future or a Law of the Past? Modern Tribunals and the International Law of Expropriation,” 85 *Am. J. Int’l L.* 474 (1991)
- “AntiCorruption Risks: Complying with the United States Foreign Corrupt Practices Act,” in K. Cutshaw, M. Burke and C. Wagner (eds.), *Doing Business in China* (4th ed.

2014; 3d ed. 2009)

- “When Prevention Fails: Internal Investigations and Disclosure Issues,” in *The Foreign Corrupt Practices Act 2009* (Practising Law Institute, April 2009)
- Co-author, “The Foreign Corrupt Practices Act: Nuts and Bolts of Conducting an Internal Investigation,” in *The Foreign Corrupt Practices Act 2008: Coping with Heightened Enforcement Risks* 293 (Practising Law Institute, 2008)
- “State-Owned Enterprises Under the FCPA” June 3, 2011
- “China” in *Anti-Corruption 2007* (London 2007)
- “The Foreign Corrupt Practices Act Dilemma,” *The China Business Review* 16 (Nov-Dec 2006)

Languages

English: native

Mandarin: proficient but not fluent

Written Chinese: proficient but not fluent

French: basic

German: basic