



<u>Surname, First name</u>	Powell, Simon
Address	Room 2A, 14/F., Chun Wo Commercial Centre, 23-29 Wing Wo Street, Central, Hong Kong
Telephone	+852.9680.4707
Email	sdp@powellarbitration.com
Nationality/ Date of Birth	British / 22 October 1963

Educational/Professional Memberships

Education

- Law Society Finals, College of Law, Chester, 1987
- LL.B. (Hons), University of Leeds, 1986

Bar Admissions

- England and Wales (Solicitor), 1989
- Australia (New South Wales and Federal Court), 1990
- Hong Kong (Solicitor-Advocate, 2015), (Solicitor, 1992)
- New York (Attorney), 2002

Professional Memberships and Arbitral Panel Appointments

- **HKIAC:** Member of the Hong Kong International Arbitration Centre Panel of Arbitrators and Panel of Arbitrators for Financial Services Disputes
- **SIAC:** Member of the Singapore International Arbitration Centre Panel of Arbitrators
- **ICC:** Listed by the International Chamber of Commerce on its Database of Arbitrators in London
- **AIAC:** Member of the Asian International Arbitration Center (formerly the **KLRCA**/Kuala Lumpur Regional Centre for Arbitration) Panel of Arbitrators
- **THAC:** Member of the Thailand Arbitration Center Panel of Arbitrators
- **JCAA:** Listed by the Japan Commercial Arbitration Association on its Database of Arbitrators
- Fellow of the Chartered Institute of Arbitrators (**FCIArb**)
- CEDR Accredited Mediator
- Member of the ICC Commission on Arbitration
- Member of the ICC-HK Standing Committee on Arbitration and ADR
- Immediate Past Chair of the ICC-HK's Nomination Sub-Committee (Member 2015-17; Chair 2017-19)
- Member of the Arbitrators Admission Sub-Committee of the Hong Kong Law Society
- Member of a number of Hong Kong Law Society Committees (Higher Rights of Audience Committee; Civil Litigation Committee; Insolvency Law Committee; and Competition Law Committee)
- Member of the Higher Rights of Audience Examining Panel (Civil)

Current Position

- Independent Arbitrator, Powell Arbitration, resident in Hong Kong.

Professional Experience

I have spent the last 29 years as a dispute resolution lawyer in Asia, having practised in London and Sydney prior to 1992. I specialise in complex commercial and corporate arbitration and litigation, as well as in insolvency situations. Over the years I have consistently been recognised

as a leading dispute resolution practitioner in Asia.

- ***International commercial arbitration:*** extensive experience acting as arbitrator and counsel in arbitrations in various jurisdictions including Hong Kong, Singapore, China and Korea conducted under a number of different rules, including those of SIAC, the ICC, the HKIAC, CIETAC and UNCITRAL.
- ***Sector / industry focus:*** focus on disputes arising in the following areas: Global Investments and Private Equity, International and Cross-Border Relationships, Joint Ventures and Shareholders Agreements, Insolvency, International Sales of Goods/Trade Law, Contractual and Commercial, Telecommunications and Technology, Manufacturing, Energy, Mining, Financial Services, Anti-Trust and Competition, Projects and Real Estate.

Arbitration and Mediation Experience

Recent/current appointments and matters include:

- Appointed as Presiding Chair of a 3-member panel by the HKIAC on 22 April 2020 in an arbitration under the 2018 HKIAC Administered Arbitration Rules in a dispute between Claimant entities from Mainland China, Luxembourg, the USA and Mexico and Respondent entities from the Netherlands, Luxembourg and the USA arising out of an Equity and Asset Purchase Agreement (governing law: Hong Kong).
- Appointed as sole arbitrator by the ICC on 20 February 2020 in an arbitration conducted under the Rules of Arbitration of the International Chamber of Commerce in force as from 1 March 2017 in a commercial dispute brought by a Singaporean private limited company against a Malaysian private limited company in relation to a dispute arising out of a Hotel Management Agreement (governing law: Malaysia)
- Appointed as sole arbitrator by the HKIAC on 5 November 2019 in an arbitration conducted under the 2018 HKIAC Administered Arbitration Rules in a commercial dispute brought by an Australian individual against a Hong Kong entity (a portfolio company of a private equity firm) in relation to a dispute arising out of the terms of an offer letter and employment agreement and the grant of share options in certain portfolio companies of a private equity firm (governing law: England).
- Appointed as co-arbitrator (for Claimant) on 14 October 2019 in a 3-member panel by the HKIAC in an arbitration conducted under the 2013 UNCITRAL Arbitration Rules in a commercial dispute between BVI and Singaporean corporate Claimants and Hong Kong, U.S., BVI and Vietnamese Respondent entities and individuals in relation to Restated Shareholders' Agreement (governing law: Hong Kong).
- Appointed as sole arbitrator by the HKIAC on 28 August 2019 in an arbitration conducted under the 2018 HKIAC Administered Arbitration Rules in a commercial dispute between a Hong Kong entity and an overseas individual in relation to the terms of the former's retainer by the latter, and the charges in relation to the same (governing law: Hong Kong). Final Award issued 14 February 2020.
- Appointed as mediator on 15 July 2019 by the parties to a shareholder dispute before the Hong Kong High Court in relation to a gym and fitness business in Hong Kong in relation to valuation issues. Mediation held on 23 August 2019.
- Appointed on as co-arbitrator (for Respondent, by its Temporary Receiver appointed by the US District Court for the Southern District of New York) on 20 March 2019 in a 3-member panel by the HKIAC in an arbitration initially conducted under the Expedited Procedure under Article 42 of the 2018 HKIAC Administered Arbitration Rules in a commercial dispute between two Cayman Island companies arising out of a Convertible Note (governing law: New York).

- Appointed as the first co-arbitrator (for Claimant) on 6 July 2018 in a 3-member panel by the HKIAC in an arbitration conducted under the UNCITRAL Arbitration Rules (as revised in 2010) and the 2015 HKIAC Procedures for the Administration of Arbitration under the UNCITRAL Arbitration Rules in a commercial dispute between Cayman Islands and Mainland China entities regarding the alleged failure of Respondent to make payment of outstanding sums due in relation to a share purchase under a Share Purchase Agreement (governing law: Hong Kong).
- Appointed as sole arbitrator by the HKIAC on 12 June 2018 in an arbitration conducted in accordance with the Expedited Procedure under the 2013 HKIAC Administered Arbitration Rules in a commercial dispute between a Samoan and a Hong Kong entity regarding the sale, purchase and delivery of iron ore in accordance with the chemical composition stipulated in the underlying contract (governing law: Hong Kong (by agreement of parties)). Final Award issued 12 December 2018.
- Appointed as Presiding Chair of a 3-member panel by the HKIAC on 30 May 2018 in an arbitration under the 2013 HKIAC Administered Arbitration Rules in a dispute between a Hong Kong entity and a US individual arising out of a Loan Facility Agreement (governing law: Hong Kong). Interim Award (on jurisdiction) issued 29 March 2019; Partial Award on Costs (relating to the Interim Award on the Preliminary Issue) issued 31 July 2019; Final Award issued 14 May 2020.
- Counsel to a US-based PE fund in a HKIAC arbitration under New York law against a PRC entity in a multi-million dollar dispute over an investment in a Chinese mining company for its failure to honour the terms of a convertible bond.
- Counsel to an Austrian wind turbine design and technology company in a Singapore-seated ICC arbitration under Singapore law against an Indian renewable energy company in a dispute arising from a Wind Turbine License Agreement and related supply agreements.
- Counsel to a leading Scandinavian supplier of aluminium in its disputes with its mainland Chinese business partner in a CIETAC arbitration seated in China.
- Counsel to a fund managed by a China-based private equity firm in relation to a HKIAC arbitration under New York law against a Cayman Islands entity for a share of dividends and other distributions paid out by a Chinese investee company.
- Counsel a US eBooks start-up company in a dispute with its business partner in China under a strategic alliance agreement in an HKIAC arbitration under Delaware law.

Publications

Writes widely on a broad range of topics, including as author of:

- the Insolvency chapter of *Emden's Construction Law* (1st Hong Kong edition, 2017)
- the Hong Kong chapter of the *Technology, Media and Telecommunication Review* (6th to 9th Editions, 2015-18)
- the Hong Kong chapter for *Getting the Deal Through's Arbitration Guide* (2017 and 2018)
- the Hong Kong chapter for *Getting the Deal Through's Dispute Resolution Guide* (2014-19)
- the Cross-Border Issues chapter of *Sweet & Maxwell's Company Law in Hong Kong: Insolvency* (2014-19)
- the Hong Kong chapter of *Practical Law's Dispute Resolution Global Guide* (2014-18)
- the Hong Kong chapter of *Thomson Reuters' International Fraud and Asset Tracing* (3rd and 4th Editions, 2014-15)

Languages

English, French (conversational)