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<b>Nationality/ Date of Birth</b>	British 8 <sup>th</sup> June 1964

### **Educational/Professional Memberships**

- Solicitor admitted in England (1994) and Hong Kong (1997).
- Member of Law Society of England and Wales.
- Member of Law Society of Hong Kong.
- Member of the Chartered Institute of Arbitrators (passed Module 3 (out of 4) to become eligible to apply to Fellowship).

### **Current Position**

Managing Director, Legal, Barclays Bank: Head of Legal for Markets Asia Pacific and South East Asia

### **Professional Experience**

Currently responsible for the following:

- Litigation, Investigations and Enforcement. I set up the litigation and investigations team for Barclays Asia Pacific around 7 years ago. Prior to setting up the team and hiring specialist litigators, I was the lawyer mainly responsible for dispute resolution (including arbitration) in the Asia Pacific region for Barclays.
- Employment, Incentives and Pensions: support for HR and ER functions including employee-related litigation
- Transactional Legal and Regulatory support across Markets businesses including; (1) Macro and Credit; (2) Equities and Prime; (3) Contract Negotiation Specialist Group (ISDA Master Agreements and other traded product documentation); (4) Commercial Services: legal risks arising in infrastructure, particularly HR, CRES and IT, as well as all company secretarial matters.

### **Arbitration Experience**

In my current role I have experience of arbitration end to end:

- Barclays routinely uses arbitration clauses in Markets and derivatives documentation, and I am responsible in Asia Pacific for the team that negotiates these clauses;
- For several years (from 2007 to 2012) I directly conducted all dispute resolution matters in Asia Pacific for Barclays, including several arbitration matters before the LCIA. One of these matters proceeded to the award, and in all other cases we negotiated a settlement before the award stage. These matters invariably involved derivatives; typically structured or leveraged foreign exchange transactions;
- Since 2012 there has been a team of specialist litigators in the region, and I was responsible for recruiting and setting up this team. This team reports to me still, and so for this period I have remained responsible for all dispute resolutions and investigations in the Asia Pacific region, and this has involved a number of disputes involving arbitration clauses.

In previous roles I have been responsible for Employment matters, Wealth (i.e. Private Banking), and Corporate Banking which have also involved arbitration clauses. With respect to my responsibilities for Employment matters there have been several cases before industrial and employment tribunals in various countries in Asia Pacific.

## **Publications**

Other professional activities include:

- ❑ Regular speaker at regional conferences (especially for International Swaps and Derivatives Association (ISDA)) on a variety of topics such as dispute resolution in the financial services context, International regulatory reform, negotiating the ISDA Master Agreement, Collateral Management and Portfolio Reconciliation, etc.
- ❑ Founder of ISDA Asia Collateral Working Group.
- ❑ Regular contributor to ISDA Asia Legal and Regulatory and Asia Advisory Committees.
- ❑ Steering Committee and Legal & Regulatory Committee for the SGX Derivatives Clearing initiative.
- ❑ External presentations to Monetary Authority of Singapore, Singapore Exchange and Risk Management Association of Singapore.

## **Languages**

English, conversational Japanese